# PHA 5-Year and Annual Plan

## U.S. Department of Housing and Urban Development Office of Public and Indian Housing

OMB No. 2577-0226 Expires 4/30/2011

| 1.0 | PHA Information  |   |  |                                  |                                 |              |
|-----|--|---|--|----------------------------------|---------------------------------|--------------|
|     | PHA Name: City of Westminster  | D C :                                   |  | PHA Code:                        | _MD027                          |              |
|     | PHA Type: ☐ Small ☐ High<br>PHA Fiscal Year Beginning: (MM/YYYY):  | Performing 2015                         | ☐ Standard                               | X HCV (Section 8)                |                                 |              |
|     | THA Fiscal Teal Deginning. (WWW/TTTT).   | 2013                                    |  |                                  |                                 |              |
| 2.0 | <b>Inventory</b> (based on ACC units at time of F  | Y beginning                             | in 1.0 above)                            |                                  |                                 |              |
|     | Number of PH units:  |   | Number of Ho                             | CV units:293                     |                                 |              |
| 2.0 | Calaniarian Tama   |   |  |                                  |                                 |              |
| 3.0 | Submission Type  X 5-Year and Annual Plan  | Annual 1                                | Plan Only                                | 5-Year Plan Only                 |                                 |              |
|     | p-real and Annual Fian   | Aiiiuai i                               | rian Only                                | 3- Teal Tiall Only               |                                 |              |
| 4.0 | PHA Consortia PHA Consortia: (Check box if submitting a joint Plan and complete table below.)  |   |  |                                  |                                 |              |
|     |  |   |  |                                  |                                 | ta in Each   |
|     | D. C. C. DILA  | PHA                                     | Program(s) Included in the               | Programs Not in the<br>Consortia | No. of Units in Each<br>Program |              |
|     | Participating PHAs   | Code                                    | Consortia                                |                                  | PH                              | HCV          |
|     | PHA 1:   |   |  |                                  | + ***                           | 110,         |
|     | PHA 2:   |   |  |                                  | 1                               |              |
|     | PHA 3:   |   |  |                                  |                                 |              |
| 5.0 | <b>5-Year Plan.</b> Complete items 5.1 and 5.2 or  | ly at 5-Year                            | Plan update.                             |                                  |                                 |              |
| 5.1 | <b>Mission.</b> State the PHA's Mission for servi  | ng the needs                            | of low income work low income            | and avtramaly law income for     | miliae in the I                 | DH A 'c      |
| 5.1 | jurisdiction for the next five years:  | ing the needs                           | or low-income, very low-income           | , and extremely low income is    | illilles III ule F              | TIA S        |
|     | To promote adequate and affordable   | le housing.                             | economic opportunity and                 | l a suitable living envira       | onment free                     | from         |
|     | discrimination.  | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | or o |                                  | J                               | <i>J. C.</i> |
|     | ausor invitation.  |   |  |                                  |                                 |              |
| 5.2 | Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very  |   |  |                                  |                                 |              |
|     | low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals  |   |  |                                  |                                 |              |
|     | and objectives described in the previous 5-Year Plan.  |   |  |                                  |                                 |              |
|     | The City of Westminster Housing Authority will continue to apply for funding when available. They will continue to   |   |  |                                  |                                 |              |
|     | strive and maintain as a High Performing Agency. They will pursue any additional grants that could assist with low   |   |  |                                  |                                 |              |
|     | and extremely low income families and disabled individuals in the City Of Westminster. COW will continue to market   |   |  |                                  |                                 |              |
|     | the Family Self-Sufficiency Program to attain economic self-sufficiency and assist families with their goals of  |   |  |                                  |                                 |              |
|     | homeownership. We have a homeownership program and have completed 1 settlement.  |   |  |                                  |                                 |              |
|     | We will pursue additional Family Unification Program Vouchers when they become available.  |   |  |                                  |                                 |              |
|     | The City of Westminster Housing Authority has continued outreach to the landlords for information on tenant  |   |  |                                  |                                 |              |
|     | responsibilities, lead laws and to comply with all state and local laws; The COW has increased the payment standard  |   |  |                                  |                                 |              |
|     | in accordance with the HUD police  |   |  |                                  |                                 |              |
|     | published. The City Of Westminste  |   |  |                                  |                                 |              |
|     | in the annual renewal of the rental  |   |  |                                  |                                 |              |
|     | aided in the annual renewal of the   |   |  | ana nas nirea a new Co           | ue mspecio                      | i mai nas    |
|     | The City of Westminster ensures eq   |   |  | than fair hausina by una         | lantakina af                    | Firm ating   |
|     | measures to ensure access to assist  |   |  |                                  |                                 |              |
|     |  |   |  |                                  |                                 |              |
|     | and disability: The City has hired a bilingual English /Spanish caseworker, as well as offer forms in Spanish. We  |   |  |                                  |                                 |              |
|     | cover the topic of discrimination and equal opportunity at the time of the Oral Briefing. In addition there are  |   |  |                                  |                                 |              |
|     | Discrimination Complaint forms available in the Housing Authority lobby. We continually undertake affirmative  |   |  |                                  |                                 |              |
|     | measures to ensure accessible housing to persons with all varieties of disabilities, regardless of unit size that is required by providing assistance with obtaining and leasing of units. |   |  |                                  |                                 |              |
|     | required by providing assistance wi  | ıtn obtainii                            | ig and leasing of units.                 |                                  |                                 |              |

|     | PHA Plan Lindate  |  |  |  |
|-----|---|--|--|--|
| 6.0 | PHA Plan Update  (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: see attachment VAWA - The PHA acknowledges that a victim of domestic violence, dating violence or stalking may have an unfavorable history that would warrant denial under the PHA's policies. Therefore, if the PHA makes a determination to deny admission to an applicant family, the PHA will include in its notice of denial a statement of the protection against denial provided by VAWA and will offer the applicant the opportunity to provide documentation affirming that the cause of the unfavorable history is that a member of the applicant family is or has been a victim of domestic violence, dating violence or stalking.  1. The City of Westminster may refer applicants and participants to The Department of Social Services Child and Adult Protective Service. Where the case workers are trained to investigate and make services available to victims. There are several counseling services throughout the county that can assist with the emotional issues. Human Services Program of Carroll County operates the Domestic Violence Safe House and provides referral services as well as housing, household goods, security deposits, etc.  The City of Westminster makes available a copy of a booklet sponsored by the Local Management Bureau "Responding to Interpersonal Violence in Carroll County, MD" which describes in detail all of the services available in the county for adult services, child services and all local resources descriptions and directories. Also, when a participant family is facing assistance termination because of the actions of a participant, household |  |  |  |
|     | member, guest, or other person under the participant's control and a participant or immediate family member of the participant's family claims that she or he is the victim of such actions and that the actions are related to domestic violence, dating violence, or stalking, the PHA will request in writing that the individual submit documentation affirming that claim. The written request will include explicit instructions on where, when, and to whom the documentation must be submitted. It will also state the consequences for failure to submit the documentation by the deadline.  (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.  The public may obtain copies of the 5-Year and Annual PHA Plan at the West Branch Library, 56 W. Main Street, City Hall. and The City of Westminster website <a href="http://www.westminstermd.gov/citygov/citygov/housing.html">http://www.westminstermd.gov/citygov/citygov/housing.html</a>  |  |  |  |
| 7.0 | Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. The City of Westminster has implemented a Homeownership program and has completed the first settlement in 2009. The City of Westminster will continue to work towards additional Homeownership opportunities.   |  |  |  |
| 8.0 | Capital Improvements. N/A   |  |  |  |
| 8.1 | Capital Fund Program Annual Statement/Performance and Evaluation Report. N/A  |  |  |  |
| 8.2 | Capital Fund Program Five-Year Action Plan. N/A.  |  |  |  |
| 8.3 | Capital Fund Financing Program (CFFP). N/A  |  |  |  |

**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Currently there are 519 active applicants on the wait list, 88.79% are Extremely Low income, 9.97% very low income and 0.31% low income. There are 39 elderly families, 213 Disabled, and 226 families with Children. There are 0 Native Americans, 6 Asians, 131 African Americans, 339 White, and 16 Hispanics.

The City of Westminster uses local residency preferences provided on the pre-application based on date and time. The average waitlist time1-3 years.

The City of Westminster has approximately 265 Landlords and currently has 100 active Landlords on the Housing program. The City has added and continues to add new landlords to the Housing program. There are 3019 registered units in the City of Westminster, and there are 3300 total rental units.

There are vacant units in the community; however in the City of Westminster the Payment Standard for a two-bedroom apartment is \$1109.00 In order to afford this level of rent and utilities, without paying more than 30% of income on housing, a household must earn \$3,696 monthly or \$44,360 annually. Assuming a 40 hour work week, 52 weeks per year, this level of income translates into a Housing Wage of \$21.33. In 2014, the City of Westminster median renters wage is \$10.34 an hour. The affordable rent at this wage is \$537.00.

These statements show that there is a need for additional vouchers and that without the aid of the voucher the very low income families would not be able to afford the average rent.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.

The City of Westminster will continue to apply for additional Section 8 vouchers as they become available and will continue to market the Family Self-Sufficiency Program to families in order to sustain self-sufficiency and increase turnover of existing vouchers. The PHA will also continue to work with our partners for additional resources to provide referrals to all applicants on the wait-list.

Additional Information. Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.
- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"
  - The City of Westminster defines the significant amendment or substantial deviation/modification as any change to rent or admissions policies or organization of the waiting list;
  - additions of non-emergency work items when dollar amounts exceed 10% of Capital Fund Budget or the amount of replacement reserve funds that exceed 10% of the annual Capital Fund Budget;
  - and any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

VAWA - The PHA acknowledges that a victim of domestic violence, dating violence or stalking may have an unfavorable history that would warrant denial under the PHA's policies. Therefore, if the PHA makes a determination to deny admission to an applicant family, the PHA will include in its notice of denial a statement of the protection against denial provided by VAWA and will offer the applicant the opportunity to provide documentation affirming that the cause of the unfavorable history is that a member of the applicant family is or has been a victim of domestic violence, dating violence or stalking.

<u>FSS</u> The City of Westminster Family Self Sufficiency program has met its mandatory number and is operating as a voluntary program. The City of Westminster may choose to conclude the Family Self Sufficiency program after all the FSS participants have graduated, contracts have expired, or terminated for failure to meet the requirements of the program.

9.1

9.0

10.0

- 11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.
  - (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)
  - (b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only) N/A
  - (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only) N/A
  - (d) Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only) N/A
  - (e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only N/A)
  - (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
  - (g) Challenged Elements No Comments made
  - (h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only) N/A
  - (i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only) N/A

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

### **Instructions form HUD-50075**

**Applicability**. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

#### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

#### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

#### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

#### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

#### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

- **5.1 Mission**. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.
- **5.2** Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.
- **6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:
  - (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
  - (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

 Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures. Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

- 2. Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
- Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
- 4. Operation and Management. A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
- Grievance Procedures. A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
- 6. Designated Housing for Elderly and Disabled Families. With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.
- 7. Community Service and Self-Sufficiency. A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).
- 8. Safety and Crime Prevention. For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

- Pets. A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
- 10. Civil Rights Certification. A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
- 11. Fiscal Year Audit. The results of the most recent fiscal year audit for the PHA.
- 12. Asset Management. A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
- 13. Violence Against Women Act (VAWA). A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.
- Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers
  - Hope VI or Mixed Finance Modernization or Development. 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm

  - (b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:

http://www.hud.gov/offices/pih/centers/sac/demo\_dispo/index.c

Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

Conversion of Public Housing. With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm

- (d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.
- Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.
  - 8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the Capital Fund Program Annual Statement/Performance and Evaluation Report (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:
    - (a) To submit the initial budget for a new grant or CFFP;
    - (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
    - To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the Capital Fund Program Annual Statement/Performance and Evaluation (form HUD-50075.1), at the following times:

- At the end of the program year; until the program is completed or all funds are expended;
- When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
- Upon completion or termination of the activities funded in a specific capital fund program year.

#### 8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the Capital Fund Program Five-Year Action Plan (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

- portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:
- http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm
- 9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
  - 9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- **10.0** Additional Information. Describe the following, as well as any additional information requested by HUD:
  - (a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
  - (b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).
- 11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.
  - (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations
  - (b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)
  - (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)
  - (d) Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)
  - (e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)
  - (f) Resident Advisory Board (RAB) comments.
  - (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
  - (h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.1.
  - (i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.2.